

Investor Charter in respect of Investment Adviser

(As required by SEBI / Regulatory Guidelines)

Nandha Financial Services Pvt. Ltd.

A. Vision and Mission of Investment Adviser

Vision:

To build trusted, transparent, and long-term financial relationships with investors through ethical and professional investment advisory.

Mission:

To provide unbiased, research-based, and goal-oriented financial advice that empowers investors to make informed decisions and achieve financial well-being.

B. Services Provided to Investors

As a registered Investment Adviser, we offer:

Risk profiling and financial goal assessment

Development of personalized investment plans

Advice on mutual funds, retirement planning, insurance, and wealth creation

Periodic review and rebalancing of investment portfolios

Ongoing monitoring and support

C. Investor Rights

As an investor, you have the right to:

- ✓ Receive fair, unbiased, and transparent advice
- ✓ Get complete disclosure of risks, fees, and conflicts of interest
- ✓ Be treated with dignity and confidentiality
- ✓ Ask questions and seek clarification anytime
- ✓ Receive a copy of risk profiling and financial plan
- ✓ Access grievance redressal mechanisms (SEBI SCORES, etc.)

D. Investor Responsibilities

To help us serve you better, investors are expected to:

- ✓ Provide accurate and complete financial information
- ✓ Inform about changes in financial situation or goals
- ✓ Understand risks before investing
- ✓ Read documents and agreements carefully

- ✓ Pay advisory fees on time
- ✓ Maintain transparency and cooperate in KYC/compliance process

E. Code of Conduct for Investment Adviser


We strictly follow SEBI's Code of Conduct, which includes:

Integrity and honesty
Fairness and transparency
Confidentiality of client data
Avoiding conflict of interest
Fiduciary responsibility (acting in client's best interest)
Compliance with all regulatory laws

F. Grievance Redressal Mechanism

If you have any concerns or complaints, you may
Contact the Investment Adviser (First Level Resolution)

 Email: support@nandhafs.com

 Phone: 98400 67303

We aim to resolve issues within 7 working days.

Disclaimer

Investment in financial products is subject to market risks. Past performance is not indicative of future results. Advisory recommendations are based on information provided by the client and prevailing market conditions.